IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:		)
	Patrick Tousignant	)
Serial No.:	10/611,450	) Art Unit
Filed:	June 27, 2003	) 2192
Confirmation No.:	1619	)
For:	DETECTING TERMINATION AND PROVIDING INFORMATION RELATED TO TERMINATION OF A COMPUTER SYSTEM PROCESS	)
Examiner:	Chuck O. Kendall	)

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.
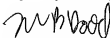
In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

Since all listed references are either in the English language or are accompanied by a translation into English, no concise explanation of relevance is required under 37 C.F.R. § 1.98(a)(3).

Please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 26ᵗʰ day of March, 2007.

Respectfully submitted,

MICHAEL B. DODD
Attorney for Applicant
Registration No. 46,437
Customer No. 47973

MBDzrb
CRB0000004952V001

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PTO/SB/08a (08-03)
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number	10611450
	Filing Date	2007-03-26
	First Named Inventor	Patrick Tousignant
	Art Unit	2192
	Examiner Name	Chuck O. Kendall
	Attorney Docket Number	13768.438

U.S.PATENTS

Examiner Initial*	Cite No	Patent Number	Kind Code¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
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U.S.PATENT APPLICATION PUBLICATIONS

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FOREIGN PATENT DOCUMENTS

Examiner Initial*	Cite No	Foreign Document Number³	Country Code² ꞌ	Kind Code⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T⁵
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NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T⁶

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	1	BLAZER, Robert, et al., "Mediating Connectors: A Non-ByPassable Process Wrapping Technology", Proceedings of the 19th IEEE International Conference on Distributed Computing Systems Workshop, pp. 73-77, Austin, TX, June 1999.	☐
	2	HUNT, Galen, et al., "Detours: Binary Interception of Win32 Functions", Proceedings of the 3rd USENIX Windows NT Symposium, Seattle, WA, July 12-13, 1999	☐
	3	KELLER, Ralph, et al., "Binary Component Adaptation", ECOOP 1998, Object-Oriented Programming, 12th European Conference, pp. 307-29	☐
	4	ZIYA, Aral, et al., "Efficient Debugging Primitives for Multiprocessors", Proceedings of the third International Conference on Architectural Support for Programming Languages and Operating Systems, pp. 87-95, Boston, MA, April 1989	☐
	5	HUNT, Galen, et al., "The Coign Automatic Distributed Partioning System", Proceedings of the Third Symposium on Operating Systems Design and Implementation [OSDI '99], pp. 187-200, New Orleans, LA, February 1999 Usenix	☐
	6	HUNT, Galen, et al., "Intercepting and Instrumenting COM Applications", Proceedings of the Fifth Usenix Conference on Object-Oriented Technologies and Systems [COOTS '99], pp.45-56, San Diego, CA, May 1999	☐
	7	KESSLER, Peter, "Fast Breakpoints: Design and Implementation", Proceedings of the ACM SIGPLAN 90 Conference on Programming Language Design and Implementation, pp. 78-84, White Plains, NY, June 1990	☐
	8	LARUS, James R., et al., "EEL: Machine-Independent Executable Editing", Proceedings of the ACM SIGPLAN Conference on Programming Language Design and Implementation, pp. 291-300, La Jolla, CA, June 1995	☐
	9	LI, Li, et al., "High-Performance Distributed Objects over a System Area Network", Proceedings of the Third USENIX NT Symposium, pp. 21-30, Seattle, WA, July 1999pp	☐
	10	ROMER, Ted, et al., "Instrumentation and Optimization of Win32/Intel Executables Using Etch", Proceedings of the USENIX Windows NT Workshop 1997, pp. 1-7, Seattle, WA, August 1997	☐
	11	SRIVASTAVA, Amitabh, et al., "ATOM: A System for Building Customized Program Analysis Tools", Proceedings of the SIGPLAN '94 Conference on Programming Language Design and Implementation, pp. 196-205, Orlando, FL, June 1994	☐

	12	STETS, Robert J., et al., "Component-based Operating System APIs: A Versioning and Distributed Resource Solution", IEEE Computer, 32[7], pp. 54-61, July 1999	☐
	13	TAMCHES, Ariel, et al., "Fine-Grained Dynamic Instrumentation of Commodity Operating System Kernels", Proceedings of the Third Symposium on Operating Systems Design and Implementation [OSDI 99], pp. 117-130, New Orleans, LA, February 1999	☐
	14	ZHANG, Xiaolan, et al., "System Support for Automated Profiling and Optimization", Proceedings of the sixteenth ACM Symposium on Operating System Principles, pp. 15-26, Saint-Malo, France, October 1997	☐

If you wish to add another non-patent literature document citation information please click the Add button

EXAMINER SIGNATURE

Examiner Signature		Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MEPE 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

[1] See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. [2] Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). [3] For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. [4] Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. [5] Applicant is to place a check mark here if English language translation is attached.

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CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

☐ See attached certification statement.

☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☒ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

| Signature | /MICHAEL B. DODD/ | Date (YYYY-MM-DD) | 2007-03-26 |
| Name/Print | Michael B. Dodd | Registration Number | 46437 |

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

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2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.

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6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).

7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.

8. A record from this system of records may be disclosed, as a routine use, to the public either after publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.

9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.